



**UK Research
and Innovation**

UKRI Open Access Policy

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Requirements for long-form publications

- From 1 January 2024, the policy extends to in-scope **monographs, book chapters and edited collections**.
- Permissive approach via **Version of Record or the Author's Accepted Manuscript** to be open access (OA) under a **Creative Commons licence, within 12 months of publication**.
- Where the Author's Accepted Manuscript is deposited, it should be clear that this is not the final published version.
- Exceptions – third party materials where re-use permissions cannot be obtained and there is no suitable alternative; where the only suitable publisher cannot offer OA, and out of scope - exhibition catalogues, trade books, scholarly illustrated catalogues, fictional works, scholarly editions etc.



Monographs timeline

	2022			2023				2024
	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1
Core Policy	Develop process for exceptions (trade books, suitable publisher, third-party materials)					◆ Publish workflows and guidance		
	Develop guidance and resources for authors and organisations					◆ Publish advice and guidance		
Funding	Develop process for funding					◆ Publish process & guidance on applying for OA funds and T&Cs		
	Develop terms and conditions					Transition to BAU and integrate with UKRI systems		
Infrastructure	Determine and implement infrastructure requirements to support policy, working with in partnership with stakeholders							
Advocacy and engagement	Engagement with stakeholders							
	Develop case studies*					◆ Publish case studies		
Monitoring and evaluation	Determine reporting requirements for monographs					◆ Publish requirements and guidance		

Key Upcoming Dates

Engagement with stakeholders (publishers – commercial, society, university presses, mission groups, research organisations, libraries, research managers, learned societies, infrastructure providers)

Workshops with HEIs and researchers e.g., on suitable publisher exceptions; engagement with Learned Societies

Update on monitoring and evaluation approach

March onwards: awareness raising campaigns for research organisations and their researchers.

SEPT

OCT

NOV

DEC

JAN

FEB

MAR

Commission project on exceptions – expect project to deliver in Nov/Dec 2022. Consultants will be expected to engage widely with stakeholders and gather & synthesise evidence to inform recommendations to UKRI

Update on implementation plan, including information on exceptions and funding
Publishing a copyright guide

Jan-Mar 2023: Preparation for publishing all advice, guidance & resources in March/April

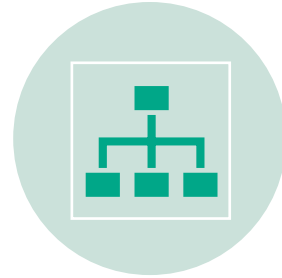
UKRI is funding Jisc to support the policy via -



NEGOTIATE
AGREEMENTS TO
FACILITATE OPEN
ACCESS FOR
LONGFORM
OUTPUTS



INFRASTRUCTURE
MONOGRAPHS (E.G.
IMPROVING METADATA,
INFORMATION ON
COMPLIANT ROUTES.)



COMMUNICATIONS
AND ENGAGEMENT
– ADVICE AND
GUIDANCE,
LEARNING ETC.



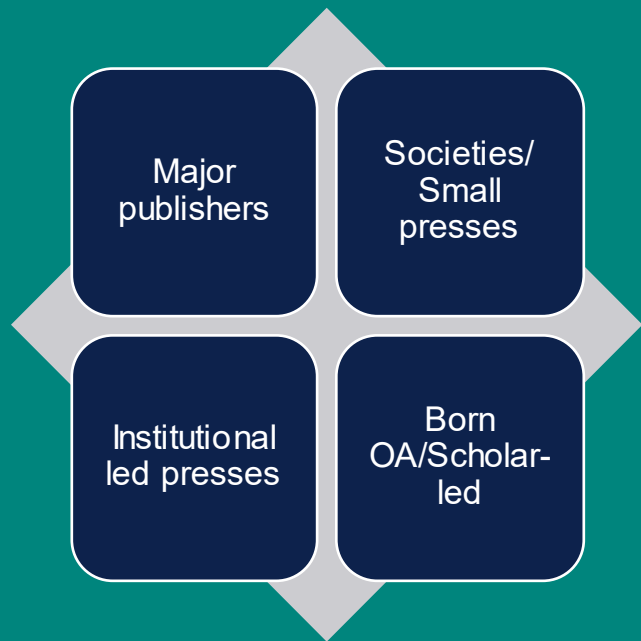
ENGAGEMENT WITH
STAKEHOLDERS (E.G. ON
SECTOR REQUIREMENTS,
OFFERING AGREEMENTS
TO PUBLISHERS,
EXPLORING INNOVATIONS
AND OPTIONS WITH ALL
ACTORS)

Our goals

- To help research organisations, publishers and societies prepare for the policy, engaging to identify required guidance and support
- To put in place affordable and sustainable routes to publish in compliance with the policy – supporting bibliodiversity
- To make it easy for authors and their institutions to understand which publishers offer compliant routes and to find OA monographs / chapters
- To put in place sufficient mechanisms that support the collecting, monitoring and reporting of data
- To continue to engage with international partners to support the alignment and interoperability of infrastructure and policies

Supporting policy for OA monographs, book chapters and edited collections

Bibliodiversity, equity, inclusive, fair, affordable....



Establishing a strategy that will engage with all publishers in this space in order to support a variety of open access models

1. Diamond OA models are gaining traction with institutions in the UK and beyond
2. Exploring the options around the use of AAMs for book chapters

Advice from members

Suzanne Tatham, Associate Director, Library, University of Sussex

“Libraries have an important role to play in helping to build capacity for OA monograph publishing. One way to do this is by redirecting acquisition budget from pay-to-access to pay-to-publish. This necessitates a different approach to evaluation. As monograph publishing shifts to OA, book processing charges (BPCs) will become increasingly unaffordable for institutions, so they will need to act collectively to support new collective subscription models.”

Engagement with publishers

Collaboration, advice and guidance

- We welcome discussion with publishers regarding pilots, collaboration and potential agreements
- We are able to provide advice and guidance on which OA models to choose
- Contact:
 - Caroline Mackay, Licensing Manager:
Caroline.Mackay@jisc.ac.uk
 - Graham Stone, Subject Matter Expert (OA monographs)
Graham.Stone@jisc.ac.uk